## Form Approved REPORT DOCUMENTATION PAGE OMB No. 0704-0188 Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing this collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Department of Defense, Washington Headquarters Services, Directorate for Information Operations and Reports (0704-0188), 1215 Jefferson Davis Highway, Suite 1204, Affington, VA 22202-4302. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to any penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number. PLEASE DO NOT RETURN YOUR FORM TO THE ABOVE ADDRESS. 1. REPORT DATE (DD-MM-YYYY) 2. REPORT TYPE 3. DATES COVERED (From - To) 01 MAY 2009 - 28 FEB 2010 4. TITLE AND SUBTITLE 5a, CONTRACT NUMBER FA9550-09-C-0127 Non-Thermonic Cathode for High Power Long Pulse, Long Lifetime **5b. GRANT NUMBER** Magnetrons **5c. PROGRAM ELEMENT NUMBER** 6. AUTHOR(S) 5d. PROJECT NUMBER Dr. Miles Collins Clark 5e. TASK NUMBER 5f. WORK UNIT NUMBER 7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) 8. PERFORMING ORGANIZATION REPORT NUMBER Collins Clark TEchnologies Inc. 3738-C Hawkins St. N.E. Albuquerque, New Mexico 87109 9. SPONSORING / MONITORING AGENCY NAME(S) AND ADDRESS(ES) 10. SPONSOR/MONITOR'S ACRONYM(S) AFOSR875 N RANDOLPH ST SUITE 325 11. SPONSOR/MONITOR'S REPORT ARLINGTON, VA 22203 NUMBER(S) AFRL-OSR-VA-TR-2012-0002 12. DISTRIBUTION / AVAILABILITY STATEMENT Approved for public release; distribution is unlimited. 13. SUPPLEMENTARY NOTES 14. ABSTRACT Report developed under STTR contract for topic AF08-BT14. There is considerable interest in adapting the conventional Magnetron Oscillator tube for use in High Power Microwave applications. A major obstacle in this is that the conventional thermionic cathode doses not provide acceptable electron emission for operation in the HPM power levels (> 100Mw). In this work, we explore the potential for using arrays of sharpened microscopic carbon fibers to provide field emitted electrons at room temperature and without plasma generation. This study builds on previous work with single carbon fibers in which adequate cold emission was observed. We describe experimental results in which ~ 1 cm2 arrays containing approximately 10,000 individual fibers were studied. Initial explosive emission (including plasma formation and diode shorting) was observed. Careful conditioning techniques were developed to suppress this process and to eventually produce very long pulse (~ seconds), uniform field emission. The effects of pulse rise time, diode vacuum, Cesium Iodide coating and emission field levels are discussed. Finally, the results of 3 dimensional particlein-cell simulation of a Magnetron tube geometry are described.

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# FINAL TECHNICAL REPORT AFOSR CONTRACT: FA9550-09-C-0127

"Non-Thermionic Cathode for High Power Long Pulse, Long Lifetime Magnetrons"

Miles Collins Clark, Ph.D.

owner/president

Collins Clark Technologies Inc.

Albuquerque, New Mexico

Edl Schamiloglu, Ph.D.

**Professor** 

**University of New Mexico** 

Albuquerque, New Mexico

#### 1.0- INTRODUCTION:

High power microwave tubes are an important technology for use in directed energy systems. In a conventional Magnetron tube (**figure 1**), electrons are supplied by tunneling from a heated, low work function cathode. With proper choice of material, temperature and geometry, emission current densities > 10 amps/cm<sup>2</sup> and total currents > 100 amperes are possible.

Heating the cathode to these temperatures requires an external power supply which must be operated at the cathode potential (~10-50kV). Typically, this isolation is obtained via an isolation transformer, requiring an AC filament power supply. The added complexity of this circuitry along with the thermal management considerations increases system size and weight and limits lifetime. Scaling to higher current (and hence higher power) operation for possible military applications is

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possible only by increasing the filament temperature. Advanced tube concepts such as multi beam

or inverted designs are limited due to the difficulty of increasing emission area.

The goal of this STTR topic is to develop a cathode structure which operates at ambient

temperature. A conceptual Magnetron employing an unheated cathode is shown in **figure-2**. Here,

the electrons are produced by field emission from micro-fibers covering a cathode surface. The

electron emission is controlled by a voltage between the cathode and a semi-transparent grid.

Electrons attracted toward the grid are injected into the Magnetron interaction region. The power

required to supply this extraction voltage is provided by picking off the high voltage supply rather

than from an external circuit. Unlike the heated cathode, no power is required between pulses. This

"cold" cathode will allow higher current operation, longer lifetime, reduced cooling power and

greater simplicity by eliminating the heater and support circuitry. In addition, by controlling the

emission (in this case through the use of an extraction grid) the tube can be turned on and off (gated)

thus reducing or eliminating the need for external pulsed forming circuitry. In essence, the tube

itself acts as the pulsed forming circuitry (a hard tube modulator). Finally, by eliminating the need

to heat the electron source, it should be possible to produce very large emission structures of

arbitrary geometry for use in high power and advanced tube concepts such as the inverted

magnetron. This topic has a performance goal of 1-10amps/cm<sup>2</sup> for an emission area of 10-100 cm<sup>2</sup>

and a phase-2 demonstration goal of 10 amperes in pulses of >=10ms. These goals are consistent

with the parameters of the existing California Tubes Laboratory CTL-300 magnetron tube

The pulsed mode CTL 300L tube has the following parameter:

- ~20 amperes total current
- $\sim 70 \text{ cm}^2 \text{ emission area} => 300 \text{ma/cm}^2$
- 50 ms pulse width

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• 10 Hertz pulse repetition rate (50% duty cycle)

• 1000 hours lifetime.

2.0 OVERVIEW:

The critical issue in determining if long pulse, high current density electron emission can be achieved with cold emission sources is the elimination or reduction of plasma formation in the source material. Building on past work with single carbon fiber samples we need to demonstrate scaling of these single fiber results to large area emission. While the ultimate application is to the Magnetron tube, that geometry is sufficiently difficult to construct and diagnose that we have chosen to first explore electron emission in a planar, non magnetized geometry. This planar

chosen to first explore electron emission in a planar, non magnetized geometry. This planar

geometry makes it possible to analyze and test a variety of configurations within the scope and

limits of this phase -1 effort.

In anticipation of ultimately moving to the actual magnetron geometry, a portion of work in this phase-1 effort was also dedicated to coaxial, magnetized extraction. Experimentally, the hardware was designed and constructed for coaxial magnetized extraction tests. In addition the simulation effort was completely dedicated to this geometry. It was anticipated that the planar geometry would answer experimentally the issues of plasma formation and area scaling and that the major effort would be later involved in the coaxial geometry. We couldn't afford to go immediately to the magnetron geometry and risk failing to achieve the basic understanding for feasibility. The entire effort could possibly be spent in hardware fabrication and testing without testing fiber emission

3.0 APPROACH:

scaling.

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The primary goal of this phase-1 effort was to determine feasibility of the final magnetron cold

cathode. We decided that only the most critical issues would be studied in order of importance.

Since plasma formation is at the basis of this concept, a primarily experimental effort was called for.

Plasma formation has been the core physics of high power diodes and it is a complicated process.

We felt that time spent on experiments, diagnostics, sample tests, etc was far more important at this

initial phase than theoretical work. As a practical matter, we felt that it was important to attempt

scaling of existing single fiber results up to practical sizes ( $\sim 1 \text{ cm}^2$ ). On the other hand, we felt that

some effort toward the final goal was required. This led to the project path outlined in **figure 3**.

Here, we show the move from existing single fiber results to a carefully prepared array of fibers.

This report is structured in the sequence of work performed. Although hardware was constructed

for the coaxial, magnetized experiments, time and budget constraints limited the work to the planar

geometry. The coaxial hardware: magnet system, vacuum insulator, cathode and grid holder etc. are

available for future work.

4.0 OBJECTIVES:

5.0 WORK CARRIED OUT:

5.1 **PULSED POWER SYSTEM:** 

The conventional, repetitive generator incorporates a PFN at high impedance or a liquid dielectric

transmission line at low impedance to produce the desired waveform. These systems are inherently

complex and bulky. Explosive pulsed power is low impedance, simple and compact but is limited to

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specialized, single shot applications. In addition, a nonlinear element (typically a fuse) is required to

condition the wave shape and transfer power to the load. These pulsed power systems typically

drive charged particle beam diodes based on explosive emission which inherently present a time

varying impedance due to plasma generated in the emission process. This collapsing impedance

requires a trade off in generator design to maintain a reasonably constant voltage while matching

power to the diode. The PFN internal impedance must be matched to that of the load to prevent

reflection and perturbation of the waveshape. The typical solution to this problem is to design the

PFN to have an internal impedance substantially lower than that of the diode and then to install a

parallel resistance so that a reasonably match is presented to the generator.

Although surge arrestors have been used in the past to attempt pulse shaping<sup>1</sup>, we have found that

by replacing this parallel resistance with a surge arrestor, not only is a constant impedance achieved

but the need for the PFN itself is eliminated. While we are still in the initial process of

understanding the advantages and limitations of this approach, we are routinely using it in ongoing

diode research and are developing a line of commercial systems based on this concept.

5.1.1 CONCEPT

Although this technique is very simple in both concept and practice, it is non-intuitive (at least to

the author) and requires some effort to understand. It was some time after thinking of the idea

(preventing voltage surges in laptop computers and producing flat pulses for pulsed power

applications are similar problems) that a design technique was developed. This paper is structured in

roughly the sequence that was followed, from empirical simulation through development of a design

criterion to full scale experiment.

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Consider first the simple circuit shown in figure 4. This LRC circuit is the most basic pulsed

power system. The capacitor (C) is typically a Marx Generator which delivers energy through the

inductance (L) to the load resistance (R) when the switch is closed. This efficiently delivers energy

to the load but with no waveshaping.

Now, consider the circuit shown in **figure 5**. Here an ideal Zener Diode replaces the load resistor.

This ideal device is open circuit until its characteristic clamp voltage (V<sub>zener</sub>) is reached. At this

point its dynamic resistance becomes zero. In the simulation, if the initial charge voltage exceeds

V<sub>zener</sub>, then the circuit conducts current, the capacitor discharges and the diode voltage is clamped.

The pulse width is determined primarily by the LC period and the ratio of charge voltage to V<sub>zener</sub>.

In fact, it is easy to demonstrate that by "tuning" the inductor value, it is possible to adjust the pulse

width. Note also that in this idealized example, the dynamic impedance of the system is zero and it

is extremely "stiff".

We now modify this still idealized circuit so that power can be delivered to a resistive load. We do

this by inserting an output switch and a resistor as shown in **figure 6**.

As before, the main switch closes, driving current through the diode which clamps the voltage at

V<sub>zener</sub>. After a selected time delay during which the zener current continues to rise, the output switch

is closed.

With the load resistor and diode now connected in parallel, a portion of the current is effectively

transferred from the diode to the resistor. The diode continues to clamp the voltage so long as some

current flows through it no matter how little. In fact, the load resistor can have any value above

 $Z = \sqrt{\frac{L}{C}}$  (the Marx Generator internal impedance) without changing the voltage significantly. The

proper time to close the output switch is after the current through the diode has reached or exceeded that expected in the load resistor when transfer occurs.

This circuit, while very simple none the less demonstrates characteristics of the actual pulsed power system described later.

## 5.1.2 THE PRACTICAL CIRCUIT

# A. MOV surge arrestor

Although the circuit described above is instructive, the Zener diode power handling capability is far too low to be of practical interest in pulsed power applications.

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The solution is to use commercial surge arrestors like those shown in **figure 7**.

The surge arrestor<sup>2-4</sup> (typically a Metal Oxide Varistor or MOV) was invented in the 1970's by

General Electric Corporation and is today used whenever electronic devices must be protected

against power line surges. Surge arrestors are found in applications ranging from the spike

protectors in household power strips, to large arrays capable of protecting entire power plants during

megavolt lightning strikes. Connected across a power line, the MOV (like the Zener diode) presents

a very high impedance to the circuit until a specific threshold voltage is exceeded. At this point it

rapidly begins conducting (<50 nanoseconds) with characteristics of a forward biased diode (I~V<sup>25</sup>-

<sup>50</sup>).

The characteristic clamp curves for several of these devices are shown in **figure 8**. These devices

operate over a very large current range, but are far from zero dynamic impedance.

A 2:1 voltage variation is typical over the full operational current range. This voltage variation is

the fundamental issue in commercial surge protection as well as in the present pulsed power

application.

It should be noted that this application is considerably simpler than that of lightening strike

protection. We know the characteristics of the Marx Generator precisely while a lightening strike is

highly variable.

B. Circuit Simulation and Operating Point Calculation

The first attempt at simulation was to follow the empirical technique used earlier. The curves in

figure 8 are digitized and then converted to ordered V,I pairs. To obtain other operating voltages,

the single chip data are multiplied by the number of chips. These ordered pairs are then entered into

the functional current source available in MICROCAP®, I=f(V). The circuit of **figure 9** is the

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result. It was quickly discovered however that this empirical approach was no longer useful. A wide

range of waveshapes (most of them unusable) would result from minor parameter changes. This is

clearly due to the finite dynamic impedance of the MOV. Some method of obtaining the circuit

operating point was required.

It was found that the simplest technique for obtaining the operating point was to solve for it

graphically. As seen in **figure 10**, once the output switch if closed, the MOV and load resistor are in

parallel. Prior to that, the MOV is the total Marx load.

This graphical solution was accomplished utilizing a commercial analysis and acquisition package

(IGOR<sup>TM</sup> available from Wavemetrics® Corp). An example of the screen is shown in **figure 11.** 

Here, along with the Marx Generator load line can be seen the load and MOV impedance curves.

The parallel combination of load and MOV are then calculated and displayed. The operating points

are where the various impedances intersect the load line.

In practice, all data is entered through a set of sliders and knobs in IGOR<sup>TM</sup> and the curves adjust

to these changes in real time. In this way it is a simple to change MOV stack height, charge voltage,

etc. and immediately observer the effect on the operating point. Note that in figure 11, the operating

point prior to connecting the load is at a somewhat higher voltage than with the load connected.

This is the result of the finite dynamic impedance of the MOV chips.

When a suitable operating point is established using this approach, the resulting MOV data are

converted to (V,I) ordered pairs and transported into the MICROCAP® simulation.

Figure 12 shows the simulation results using this process along with data from Table-1.

This simulation was used to construct the demonstration pulser described below. The switch out

time in the simulation was chosen to correspond to that of the self break switch in the experiment.

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5.1.3 THE AFOSR PULSER:

In order to test the validity of this design process and to demonstrate the operation of this concept,

a moderately low power pulser was constructed and is shown in figure 13. Pulser parameters are

listed in table-1. This is a fully functional pulser and is currently being used in CCT studies of long

pulse field emission cathodes.

It consists of several components developed by CCT. These include a solid state switch capable

of 40 kilovolt, 500 ampere operation (model SSW-403) and a gas insulated field distortion switch

capable of 200kilovolt, 50 kiloampere operation (Model GSW-204). The components are located in

a 20 cm. diameter aluminum cylinder and are insulated with pressurized air.. The primary switch is

optically triggered while the output switch is self break. The MOV stack is composed of a 10

element string of commercially available chips (Panasonic model ZNR10182). The voltage output is

selected by connecting the output line to the proper number of chips (5 for these tests).

The capacitor is a Cerrento model 12312 40nF/100kV. The inductor is made from a spool of high

voltage wire (Belden 88690101). The load is a 500 Ohm high voltage resistor. The circuit is wired

together with standard automotive non-resistive spark plug cables. Load voltage is measured using a

Northstar® model PVM-12 high voltage probe and the MOV current is measured with a current

viewing resistor. The system is located in a Lingren shield room and data acquisition is with a

Tektronix 2024 digitizer. Operating parameters for these tests are shown in table 1.

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Test data are shown in figure 14. Since the output switch was self-break for these tests, there was

considerable jitter in the timing and this particular data set was selected for its optimal waveshape.

The risetime and voltage flattop are strongly dependent on this timing and we have subsequently

installed a triggered output switch to stabilize this effect. Likewise, the switch-out time for the

simulation was chosen to match the experiment. Note the close agreement between experiment and

simulation. This validates the design process used.

A feature seen both in simulation and experiment is the slow trail off of the voltage after the

MOVs stop conducting. This is a characteristic of the concept and can potentially cause problems

in some applications. In this case, a crowbar switch is required.

An expanded view of the load voltage is seen in **figure 15**. The characteristic rapid transfer time

from the MOV to the load results in a fast voltage rise time followed by the very flat waveform.

**5.2 COAXIAL MAGNETIZED HARDWARE:** 

The coaxial, magnetized extraction assembly is shown in **figure 16**. On the left of the figure is a

cutaway view of the SolidWorks© assembly showing the various components. The apparatus is

constructed in standard NW-50 (2") vacuum hardware which then adapts to the vacuum system.

This will allow us to swap between the two configurations with minimal downtime. The fiber

sample will form a strip in the coaxial cathode holder so that only a strip of beam will be extracted.

This technique should limit the total amount of current extracted and allow better diagnostic and

modeling capability.

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5.2.1: Magnetic Field Coil:

The solenoid system has been constructed and is shown in figure 17. The coil itself consists of

500ft of #14 electrical wire with a 6 inch diameter bore. It is powered by an electrolytic capacitor

bank (0.124 Farad, 300 volt) which is charged with a CCT fabricated power supply and switched

with an SCR. The coil produces a 3 kG magnetic field with a 20mS critically damped waveform.

This is sufficient to allow flux penetration into the stainless coaxial hardware.

**5.3** Planar non-magnetized hardware:

We will begin the experiment with the hardware shown in **figure 18**. it is constructed of

aluminum and uses Plexiglas cylinders for insulation and vacuum seal. A 1 cm. diameter fiber disk

is mounted at the center of a 3" support plate. The grid is 90 % transparent stainless steel mesh

mounted in a drumhead type stretcher ring. The anode is at ground potential and contains a graphite

plate (not shown) which acts as both a beam dump and Faraday cup. The package mounts to

standard NW-100 vacuum hardware as shown.

5.4 Laser triggering:

One of the effects that we want to explore is the importance of voltage rise time on emission from

the fiber bundle. In the conventional explosive emission process, the fast rising voltage pulse

produces a displacement current which charges the inherent stray capacitance associated with the

fiber geometry. The resulting discharge of this stored energy causes plasma formation and electron

emission. While providing a zero potential sea of electrons, the resulting plasma expansion (1-10

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cm/µs) limits usable pulse lengths to a few microseconds at most and is not suitable to this

application.

In order to study this effect, it is necessary to provide a precisely controlled voltage pulse rise

time. The inherent rise time of the pulser is determined by the characteristics of the solid state

switch used and is approximately 600 nanoseconds as shown in figure 19.

The pulse rise time is adjusted by modifying the basic pulser circuit to include a resistor-capacitor

load and an output switch as shown in figure 20.

By inserting the R-C components, the pulser output in integrated and the rise time is controlled

over a large range up to  $\sim 60 \mu S$ . In practice, the capacitor is composed of a set of 10 solidstate

"doorknob" 2 nanofarad capacitors arranged in parallel as seen in **figure 21**. For slow rise time

tests, the output switch is shorted out so that the load is directly connected to the RC package.

Adding or removing capacitors with a fixed resistance of 3000 Ohms provides rise time steps from

6-600 $\mu$ S. A typical slow rise time (40 $\mu$ S) is shown in **figure 22**.

To achieve a very fast rise time, an output switch is inserted as shown in **figure 23**. It consists of

two hemispherical stainless steel electrodes threaded on 1/4-20 screws for gap adjustment. Because

we are operating at low voltage, there is no need for a pressurized housing and the switch is

operated at ambient air pressure.

The switch must be triggered at a precise time in order to achieve a reproducible voltage level. This

triggering is accomplished by illuminating the switch gap with ultraviolet light from a commercial

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Nitrogen lase (Spectra-Physics© model 337-SI, 337.1nm, 75kW). This laser produces a 4

nanosecond light pulse with a total jitter from trigger to output of less than 30 nanoseconds. It is

triggered from an external 5 volt logic pulse. The configuration is shown in figures 24-25,

The great advantage of using an ultraviolet laser pulse is it simplicity. Unlike optical laser

triggering, there is no need to focus the beam to achieve adequate intensity. Rather, the unfocused

beam (~0.4 cm<sup>2</sup>) is directed between the electrodes as shown. This eliminates the need for

complicated optics and greatly relaxes the alignment and positioning requirement. Using this

technique, 15 nanosecond rise time pulses are achieved as shown in **figure 26**.

Here the RC charge voltage is shown along with the cathode voltage. These two techniques allow

us to study the effects of voltage rise time from 15 nanoseconds to greater than 500 microseconds in

a controlled and reproducible fashion.

6.0 RESULTS OBTAINED:

We have completed the research phase of this contract. Using a 1 cm<sup>2</sup> emitter we have

demonstrated a  $>1 \mu S$ , >10 amp beam with a plasma based emitter and 100  $\mu S$ ,  $\sim 1$  ma emission

without plasma. These results suggest that a long pulse, high power, cold cathode Magnetron is

possible. Due to page limits, we will describe only those results most critical to evaluation of our

progress toward this goal.

The purpose of this effort is to demonstrate a long pulsed, HPM Magnetron tube by developing an

electron source with both high current capability and little or no plasma closure. The phase-1 work

involves a small (<=1cm<sup>2</sup>) disk of carbon fiber emitter situated in a planar, non-magnetized

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configuration shown schematically in figure 27. This geometry is easy to fabricate, maintain and

diagnose while permitting sufficient area and current for realistic scaling studies. The experimental

setup (figure 28), is located in 10'x8' lab which is separated from the control and data acquisition

area by a 12' distance. Addition of a telescope-CCD camera to view the emitter end-on proved

extremely useful in diagnosing the non-plasma emission process.

The following discussion of experimental results will be organized in the rough order performed..

For all results presented here, significant conditioning was required to achieve stable behavior.

6.1 PLASMA BASED EMISSION/ PULSED CONDITIONING:

The first studies centered on the basic behavior of the carbon fiber disk under pulsed conditions.

Since we are working with an extremely small extraction gap (3mm), the control of plasma

expansion is critical to long pulse operation.

For this work the triode is operated in grounded grid mode (figure 29) and the total anode beam

current is measured with a current viewing resistor. The voltage rise time is controlled by inserting a

switch and capacitor as shown.

Typical behavior of a bare (not coated with Cesium Iodide) emitter is seen in **figure 30** where a

5kv/4amp beam is produced after breakdown at ~40kv/cm. with a plasma closure velocity of

~6mm/µS The oscillations in the voltage and beam current are due to transmission lines between

pulser and experiment. Conditioning was accomplished by firing the pulser at 0.5 Hz. at a voltage

set to produce diode arcing. The voltage was gradually increased until arcing ceased and uniform

plasma formation was achieved (1000-5000 pulses).

The dependence on pulse rise time is shown in **figure 31** where it is varied from 15nS to greater

than  $3\mu$ S. It shows a small but definite **decrease** in threshold voltage as the voltage rise time is

**increased**. This argues against capacitive breakdown effects and needs further study.

#### 6.2 CESIUM IODIDE COATING:

The plasma emission studies were repeated after coating a new fiber sample with Cesium Iodide. The coating was applied in the standard, evaporative fashion. The emitter consists of bi-modal fibers, 90% are ~ 1mm high while the others are ~2mm high. **Figure 32** shows a micrograph of the freshly coated emitter with the spheres of CSI deposited on the fiber tips. The tall fibers (~20-50/mm²) presumably account for the majority of emission.

As seen in **figure 33**, there is a significant decrease in plasma closure velocity and a corresponding increase in pulse width to  $>1\mu S$ . Note that the current follows the voltage for the pulse duration without any indication of gradual plasma closure. This suggests that the closure may come from localized edge effects rather than general plasma motion. If this can be demonstrated (with larger samples) then elimination of these edge effects may lead to a very significant pulse width increase.

## 6.3 NON-PLASMA EMISSION/DC CONDITIONING

These final experimental results are the most significant for achieving millisecond duration pulses. That is the complete elimination of plasma closure while maintaining uniform emission. For this, we employed a D.C. conditioning technique in which the cathode is grounded and a positive bias is applied to the grid with a +10kv/6mA power supply. The emitter is imaged end-on through the anode tube yielding the view seen in **figure 34**.

The D.C. conditioning process involved slowly increasing the voltage until arcing is observed. The switching power supply temporarily shuts down on each arc and automatically recovers in  $\sim 2$  seconds. In this way, arcs are gradually eliminated (probably due to burn off of protruding fibers) and a steady emission current in achieved. The voltage is increased until arcs reappear and the

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process is repeated until a maximum of +10kV is achieved. This total process generally takes 1-4

hours for each sample. Figures 35-36 show frames taken from the digital video of this process.

Figure 32 shows typical arcing during conditioning. Early in the process (1-2 hours) the arcs occur

mainly at the "triple point" where the fiber and holder meet. Later (2-4hours) the arcs are distributed

across the face of the emitter as well as at the edge. In addition, in this phase the arc frequency

begins to significantly decrease from several per second to one or two per minute.

In between arcs, the fibers begin emitting a steady electron beam as measured by the current

monitor in the power supply. At this time, the grid begins glowing due to beam heating. The IR

sensitive CCD camera makes possible the images seen in figure 33. The grid heating provides a

measure of the beam profile that was not possible with any other technique tried during phase-1.

The left image shows emission from a small section of the disk (possibly only a single fiber) early

in the conditioning process. At the end of the conditioning process, emission is seen over the full

area of the disk and at substantially increased total current. The continued non uniformity suggests

that emission is still coming from a small number of fibers distributed across the face but non-the-

less clearly demonstrates area scaling. A demonstration that these D.C. results hold for pulsed

operation can be seen in **figure 37**. While it is difficult to make current measurements at this level in

a pulsed environment, one can clearly see beam current lasting for the >100µS pulse duration with

no indication of plasma formation.

6.4 UNM 3-D PIC SIMULATIONS

Finally, the simulation effort at University of New Mexico is concentrating on the actual

Magnetron geometry for the proposed phase-2 tube. Figure 38 shows two runs at different magnetic

fields for an extracted beam from a single emitter strip in the "A6" Magnetron geometry. In

practice, there would be 6 strips arranged on a transparent type emitter. As can be seen in this

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figure, the injected electron beam tends to re-enter the grid region in the absence of r.f. spoke

formation. This effect is probably due to the fact that the electrons enter the Magnetron gap with

non-zero energy (due to the extraction bias voltage) and thus have sufficient energy to make it back

to the cathode. This effect will need to be studied in greater detail in a future effort.

7.0 TECHNICAL FEASIBILITY:

The experiments and numerical simulation accomplished in this phase-1 effort indicate that very

long pulse, cold cathode emission is possible. Although this effort was necessarily limited in scope,

the critical experiments demonstrated that plasma emission can be eliminated or greatly reduced by

careful attention to field shaping geometry and proper conditioning techniques.

This latter point cannot be over emphasized. Only the simplest of conditioning techniques were

possible in this effort but never the less "prepared" the emitter samples for uniform, non-plasma

emission. Future work should include extensive investigation of the conditioning mechanism

leading to the optimum conditioning procedure. This eventual conditioning procedure should be as

automated as possible so that large area cathodes can be prepared.

8.0 SUMMARY:

The phase-1 scaled experiments suggest that a long pulse, cold cathode Magnetron is feasible.

Conditioning techniques and procedures have been developed which permit cathode operation in

both plasma generation mode and field emission mode.

In the plasma mode, > 10 amps/cm<sup>2</sup> at closure velocities < 2mm/ $\mu$ S were achieved using Cesium

Iodide coated fibers, indicating that >1 µS HPM Magnetrons could be possible.

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In the field emission mode, complete elimination of the plasma resulted in stead state emission at

the milliampere level with the demonstration of area scaling. Additional work on both fiber design

and conditioning techniques promise to increase area emission to ~ 1-10 amps/cm<sup>2</sup> for millisecond

pulse operation.

**REFERENCES:** 

[1] M. Giesselmann, T. Heeren, E. Kristiansen, J. Dickens, D. Castro, D. Garcia, M. Kristiansen,

"Simulation, Design and Test of a MOV Pulse Shaping Device for High Power Microwave

Generators" 1999 IEEE Pulsed Power Conference

[2] David B. Miller, Hong Bo Fan, Paul R. Barnes, "The Response of MOV and SiC Arresters to

Steep-Front Longer Duration Current Pulses" 1990 IEEE Power Engineering Society Summer

Meeting, Minneapolis, Minnesota, July 15-19, 1990

[3] Panasonic data sheet "ZNR®" Transient/Surge Absorbers (Type-D)

[4]General Electric "TRANQUELL<sup>TM</sup> Surge Arrestors Technical Data D61HFM 07/10/97

**APPENDIX:** 

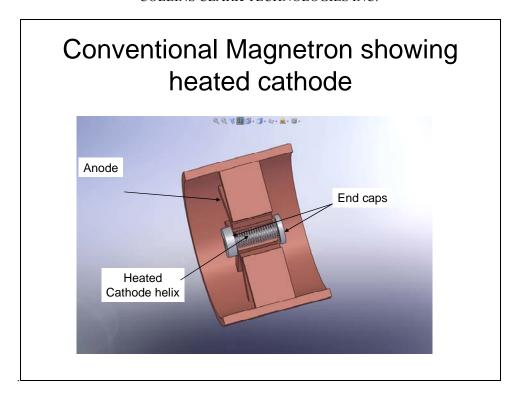


Figure 1 SECTION VIEW OF A CONVENTIONAL MAGNETRON

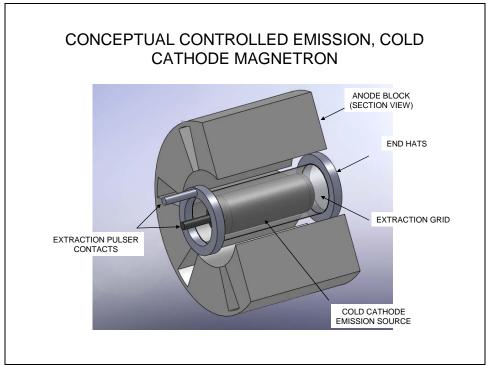


Figure 2 CONCEPTUAL COLD CATHODE MAGNETRON WITH GATED ELECTRON SOURCE

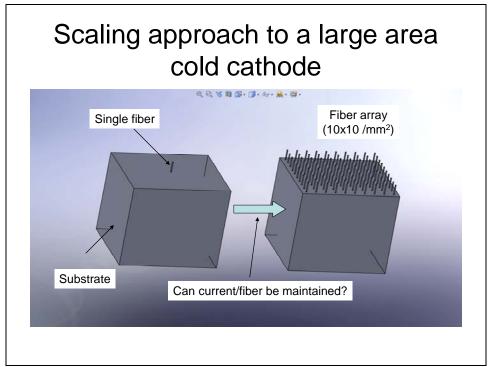


Figure 3 conceptual approach is to demonstrate fiber array emission

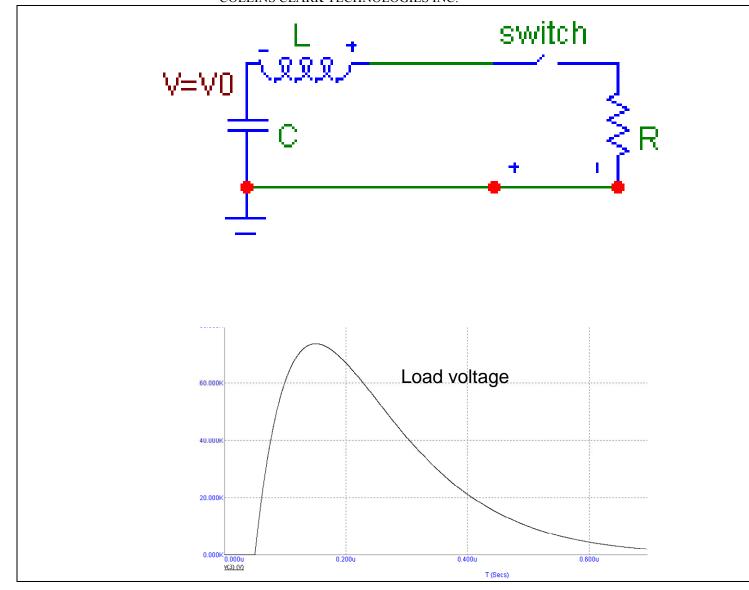


Figure 4. MICROCAP® simulation. LRC circuit (top). Load voltage waveform (bottom)

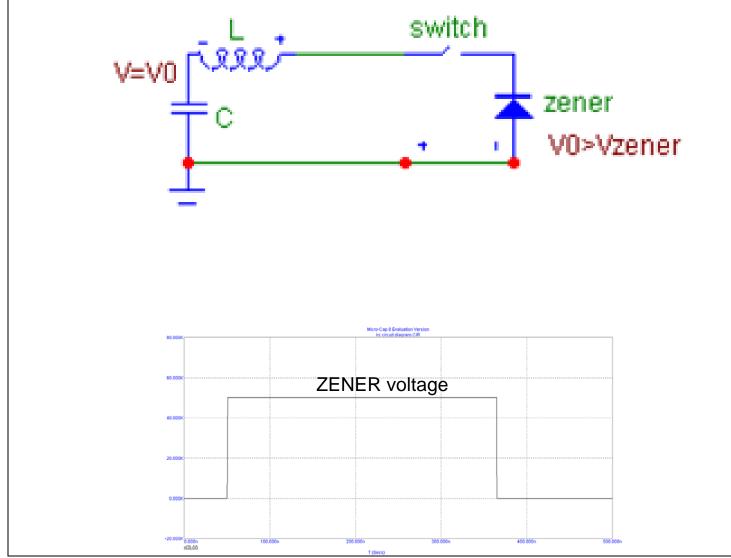


Figure 5 MICROCAP® simulation with a Zener diode replacing the load resistor

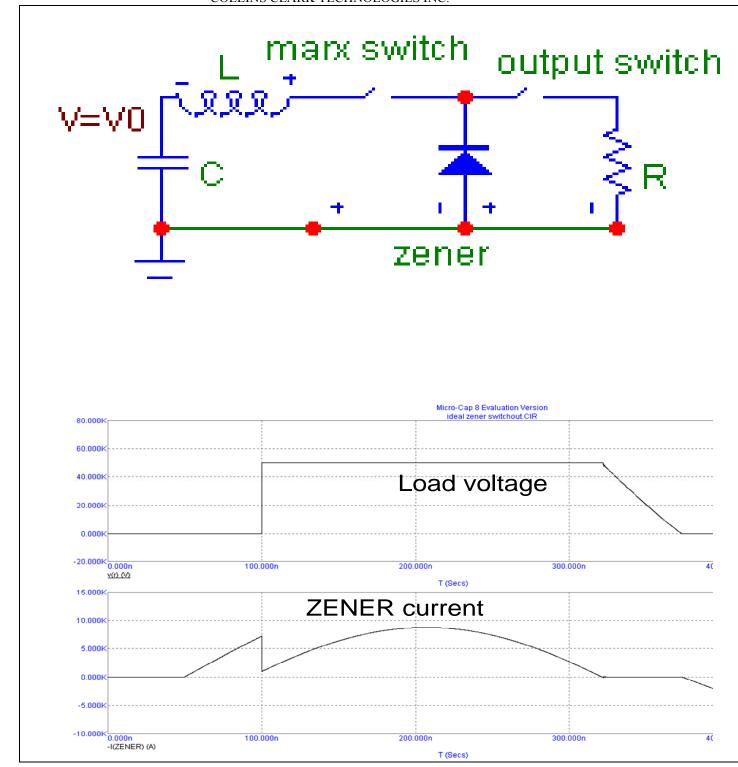


Figure 6 MICROCAP® simulation with a switch and resistor inserted

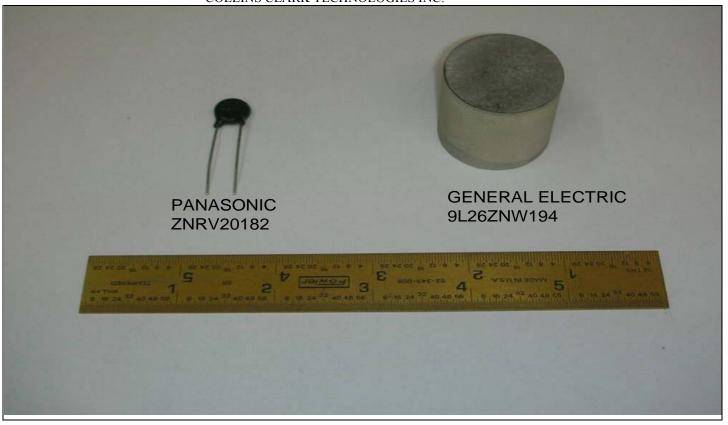


Figure 7 Commercial Surge Arrestor chips. Left: 2.5kV,1kA,1kJ. Right: 10kV,10kA,65kJ

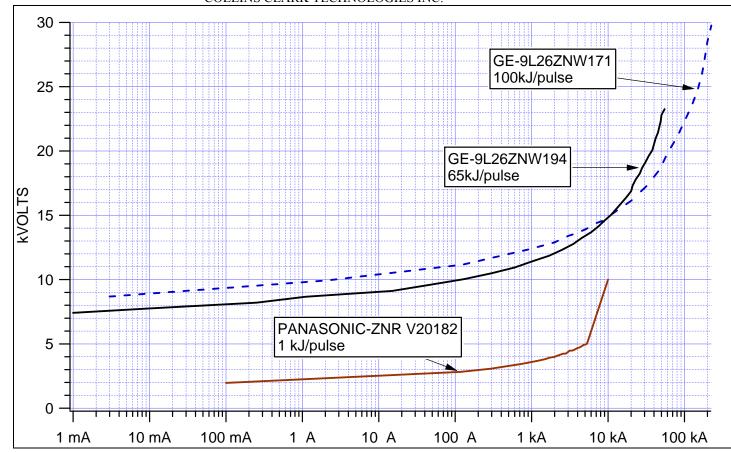


Figure 8 Curves for selected MOV arrestors

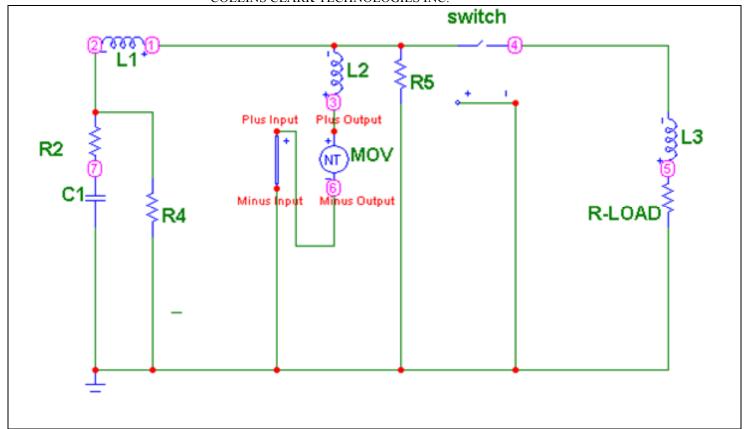


Figure 9 MICROCAP® simulation geometry using a functional representation for the MOV elements

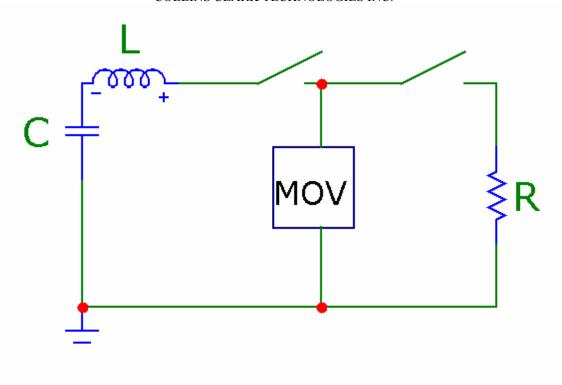


Figure 10 circuit model used to obtain operating point

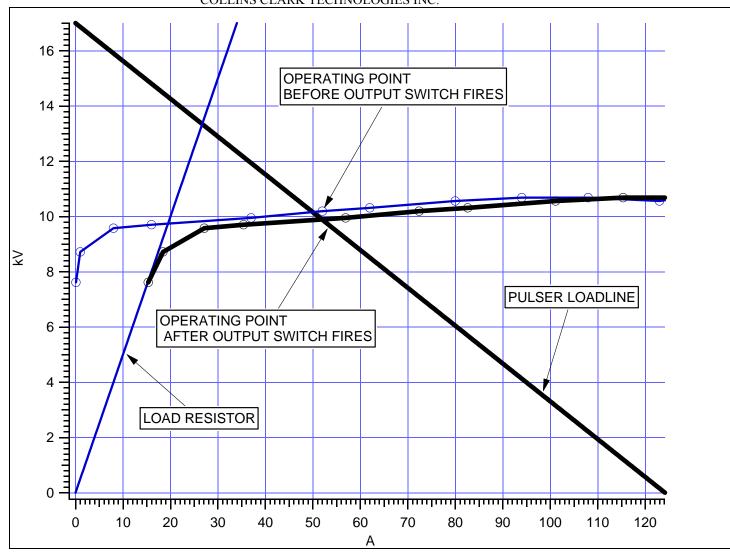


Figure 11. Graphical solution for the circuit operating point before and after connecting the load resistor.

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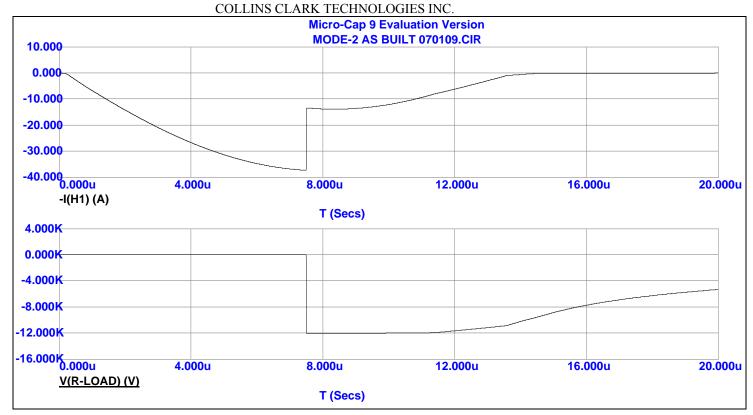


Figure 12 MICROCAP® simulation results. MOV current (upper), Load voltage(lower)

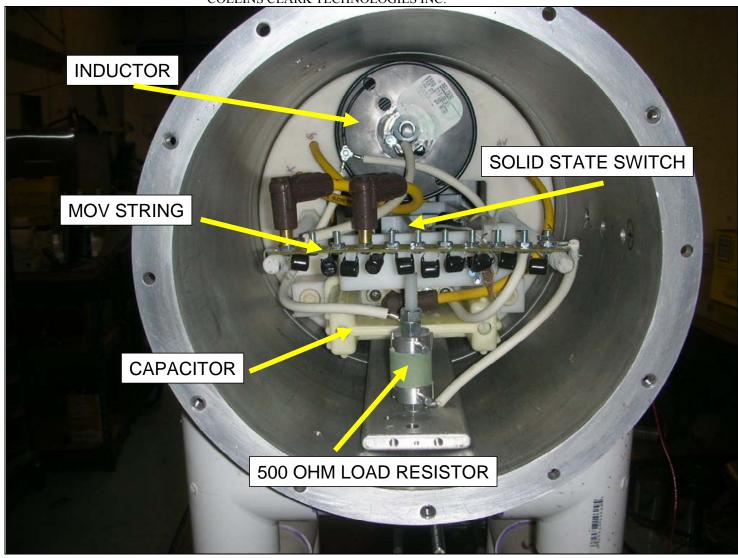


Figure 13 The Demonstration Pulser housed showing key components. The output switch is not shown in this view

#### **Table 1. Demonstration Pulser Parameters**

CHARGE VOLTAGE	18 KV (40kV max)
CAPACITANCE	40nF
INDUCTANCE	720uH
LOAD RESISTANCE	500 OHMS
MOV TYPE	ZNR10182
NUMBER OF MOVs	5 (10 max)
MARX SWITCH	SOLID STATE
OUTPUT SWITCH	GAS- SELFBREAK

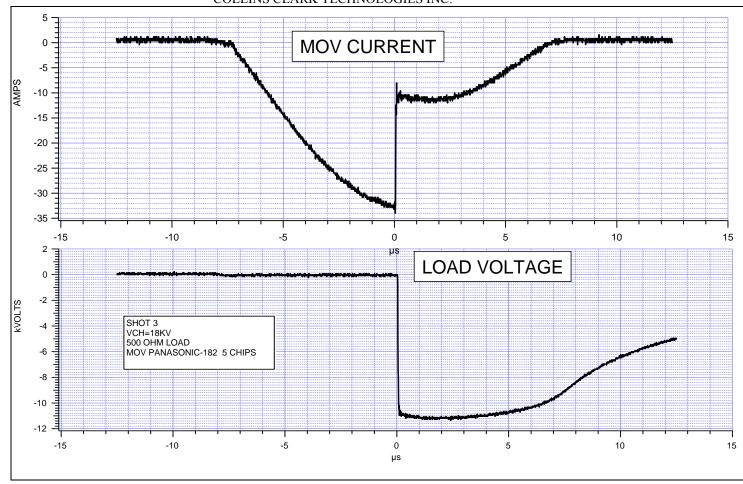


Figure 14. Demonstration Pulser data. MOV current (upper). Load voltage (lower)

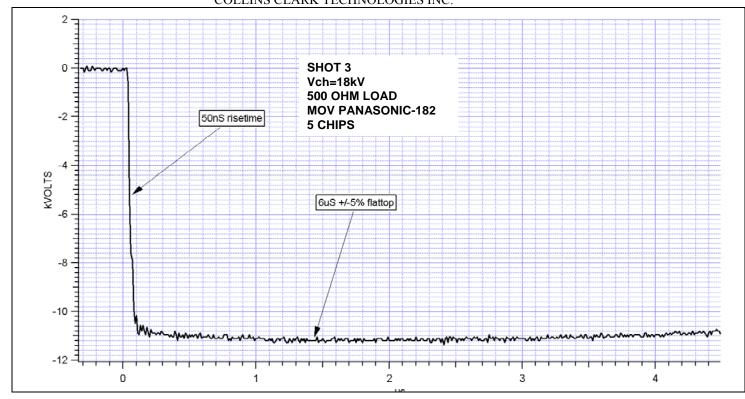


Figure 15. Load voltage showing fast rise and flat top

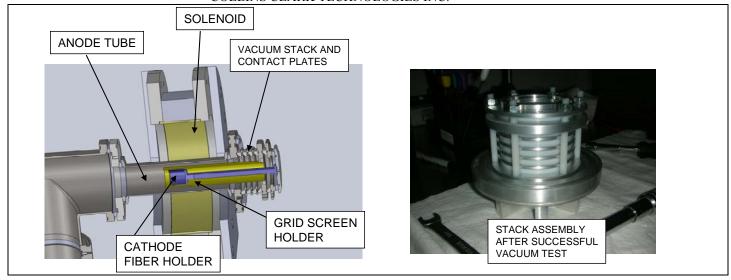


Figure 16. Magnetized coaxial assembly(l) and ring stack (r)

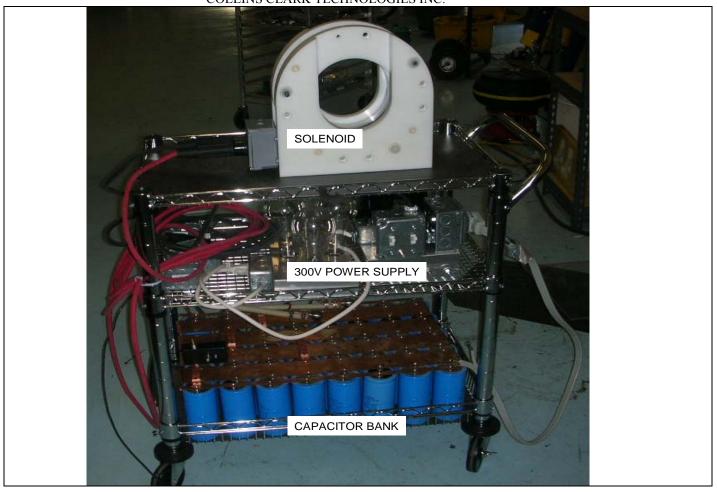


Figure 17. 3kG Magnetic Field System

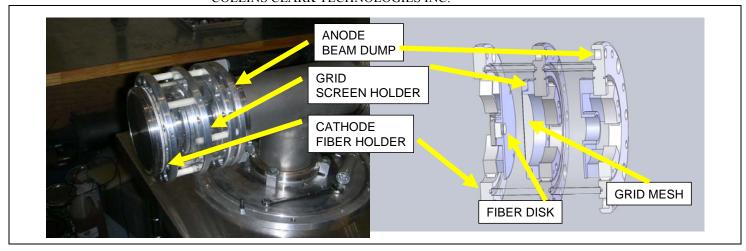


Figure 18. Planar Extraction assembly

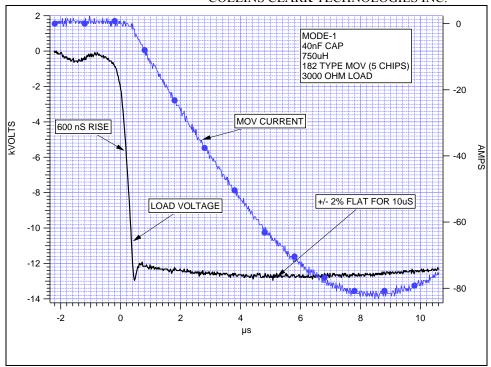


Figure 49 Inherent pulser rise time is ~ 600nS

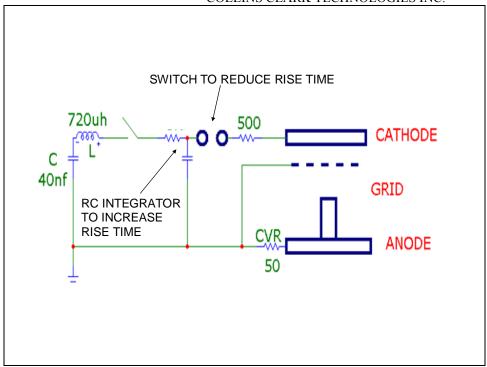


Figure 50 Modified circuit for variable rise time voltage pulse

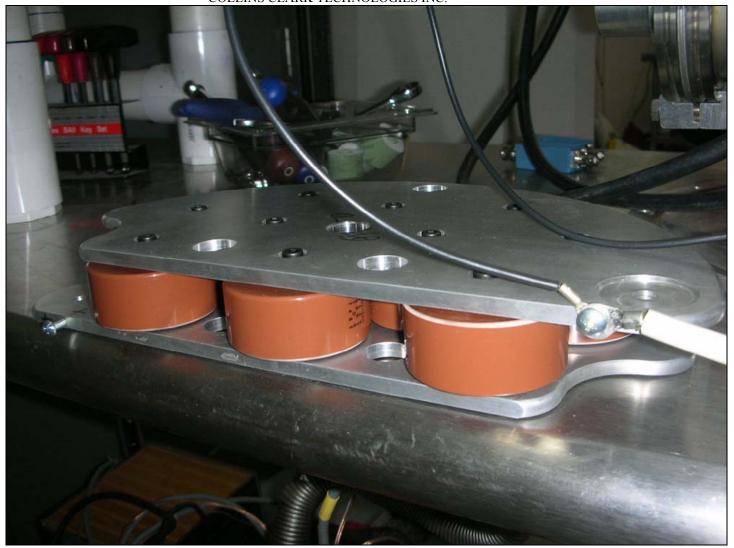


Figure 21 capacitor bank used to slow rise time

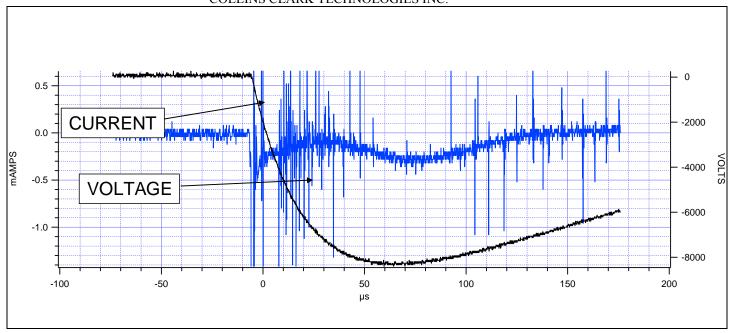


Figure 22 Typical slow rise time (40μS)

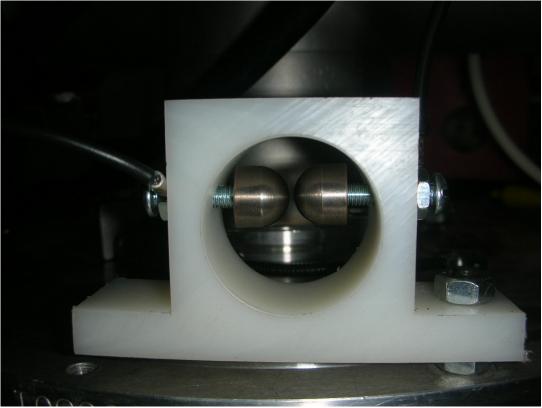


Figure 23 Laser triggered output switch to sharpen voltage pulse rise time

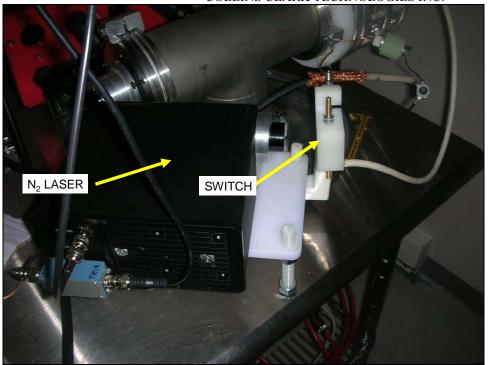


Figure 24 Laser trigger configuration showing laser, switch and load

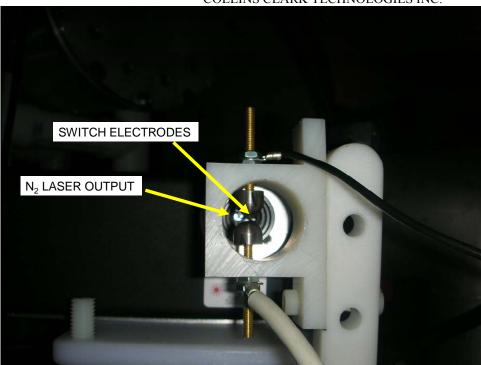


Figure 25 View of output switch illumination gap

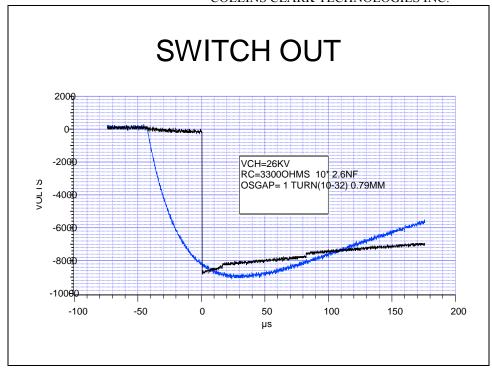


Figure 26 Output waveform showing very fast rise time (~15nS) into the load

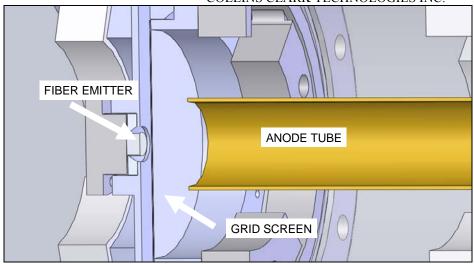


Figure 27. Cut-away view of extraction hardware

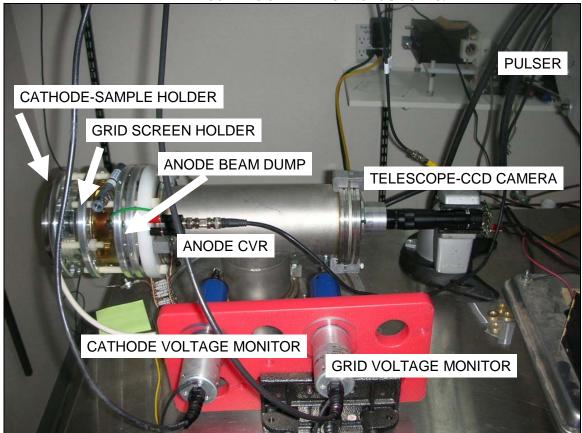


Figure 28 Experiment setup.

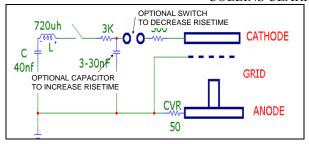


Figure 29. Experimental geometry

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Figure 30. Electron emission: uncoated fibers, 4A, 500ns, 6mm/μS closure velocity

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CATHODE VOLTAGE

T-RISE=3 µS

T-RISE=1 µS

T-RISE=1 µS

T-RISE=1 µS

Figure 31. Rise time plasma threshold dependence in conditioned, un-coated fibers

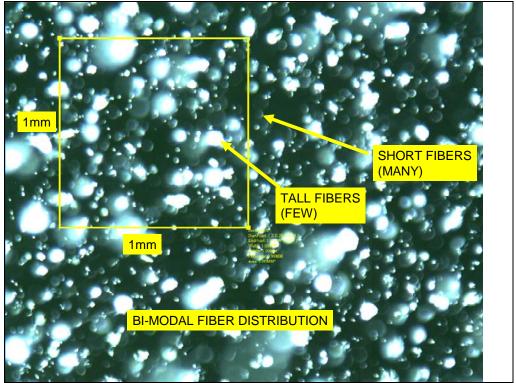


Figure 32. Micrograph: CSI coated emitter

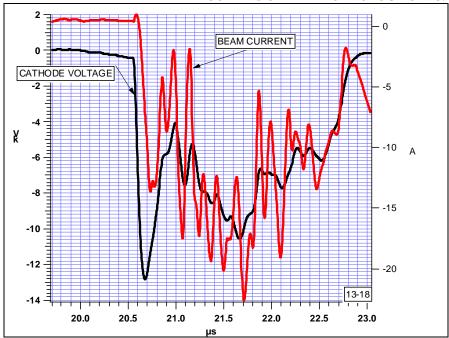


Figure 33. Electron emission: CSI coated fibers. 10A, 1.5μS, 2mm/μS closure velocity

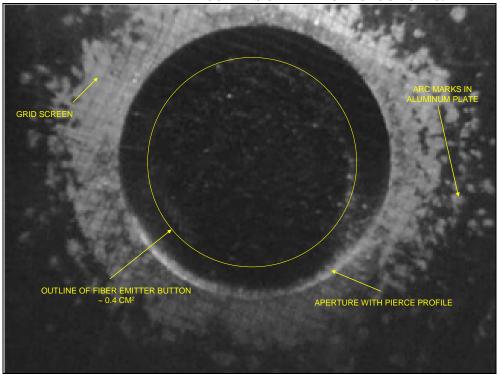
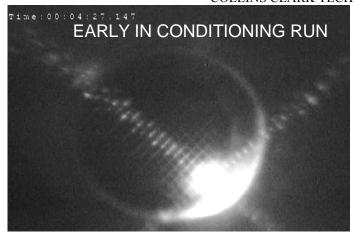


Figure 34. Telescope image: emitter through screen.



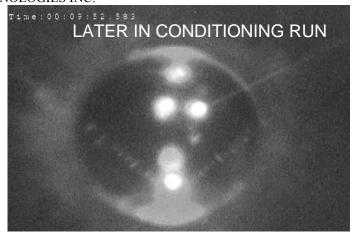


Figure 35 DC conditioning arcs.

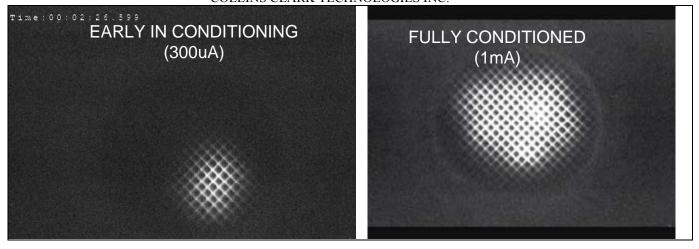


Figure 36. DC emission: grid glow from e-beam heating

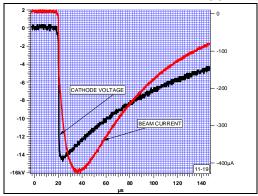


Figure 37. non-plasma, very long emission with conditioned, uncoated fibers.

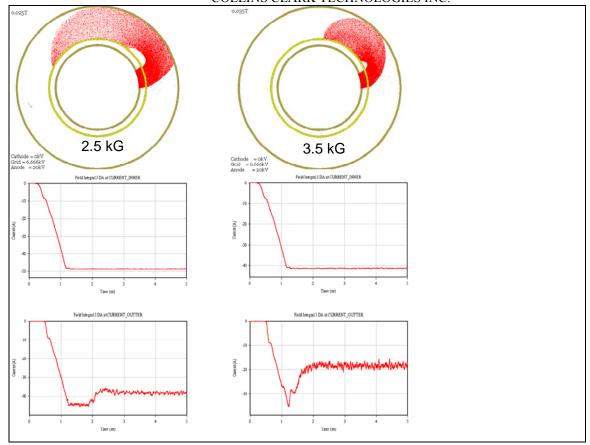


Figure 38. A6 simulation. Vk=0,Vg=7kv,Va=20kV.